

PATENT  
ATTORNEY DOCKET NO.: 059490-5033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Frederick William CAIN, et al.	)	Confirmation No.: 5097
	)	
U.S. Application No.: 10/583,151	)	Group Art Unit: Unassigned
	)	
International Appln. Filing Date: December 17, 2004	)	Examiner: Unassigned
	)	
Date of National Stage Entry: June 16, 2006	)	
	)	
For: USE OF COMPOSITIONS COMPRISING	)	
OLEANIC ACID AND URSOLIC ACID FOR	)	
THE PREPARATION OF A MEDICAMENT	)	
FOR THE TREATMENT OF HYPER-	)	
SENSITIVITY AND HYPERACTIVITY	)	

Commissioner of Patents  
MAIL STOP PCT

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. § 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. This replies to the Notification of Missing Requirements (Form PCT/DO/EO/905) mailed March 20, 2007.

A copy of the Notification of Missing Requirements (Form PTC/DO/EO/905) is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

## 3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

☐ Also enclosed is the Verification of Translation Document

## 4. Small Entity Statement(s)

☐ Applicant(s) hereby assert small entity status.

## 5. Fee Calculation

			Basic Fee:	
			PCT Application-\$	
	Number Filed	Number Extra	at a Rate of	
Total Claims	- 20 =			
Independent Claims	- 3 =		=	
Multiple dependent claim(s), if any			\$360.00	\$360.00
Missing Requirements Surcharge Fee			\$130.00	\$130.00
			<b>SUB-TOTAL =</b>	<b>\$490.00</b>
04/10/2007 LANDGRA 00000019 500310 10583151 01 FC:1616 360.00 DA 02 FC:1617 Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(k) and § 1.52(d)			\$130.00	
Fee For Processing and retention of application (37 C.F.R. § 1.21(l) and § 1.53(d)			\$130.00	
			<b>TOTAL FILING FEE =</b>	<b>\$490.00</b>

## 6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. §§ 1.17(a)-(d), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 420.00	\$210.00
<input type="checkbox"/> three months	\$ 950.00	\$475.00
<input type="checkbox"/> four months	\$1,480.00	\$1,005.00

Extension of time fee due with this request:

\$ \_\_\_\_\_

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## 7. Fee Payment

The total fee due is:	Completion Fees	<u>\$490.00</u>
	Assignment Fees	\$
	Total Fee Due	<u>\$490.00</u>

- ☒ The Commissioner is hereby authorized to charge the total fee due to Deposit Account 50-0310.

- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

## 8. Additional papers enclosed.

- ☐ Assignment & PTO 1595 Cover Sheet  
☐ Preliminary Amendment  
☐ PCT/IB/338 English translation of the International preliminary Examination Report  
☒ Information Disclosure Statement  
☒ Form PTO-1449, 21 documents listed and 20 documents provided  
☐ International Search Report  
☐ Declaration of Biological Deposit  
☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**



Paul N. Kokulis, Reg. No. 16,773

Date: April 6, 2007

**Customer No. 09629**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/583,151	FIRST NAMED APPLICANT Frederick William Cain	ATTY. DOCKET NO. 059490-5033
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INTERNATIONAL APPLICATION NO.

PCT/GB04/05453

I.A. FILING DATE

PRIORITY DATE

12/17/2004

12/19/2003

9629

MORGAN LEWIS & BOCKIUS LLP  
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WASHINGTON, DC 20004

Classified 3/22/07 Attorney PNK  
Case 59490-5033  
Due Date May 20, 2007  
Action Missing Requirement  
Date Mailed: 03/20/2007 PSF Chk CJM

CONFIRMATION NO. 5097

371 FORMALITIES LETTER



\*OC000000022963611\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2006
- Copy of the International Search Report filed on 06/16/2006
- Information Disclosure Statements filed on 06/16/2006
- U.S. Basic National Fees filed on 06/16/2006
- Priority Documents filed on 06/16/2006
- Specification filed on 06/16/2006
- Claims filed on 06/16/2006
- Abstracts filed on 06/16/2006
- Drawings filed on 06/16/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

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MAR 22 2007

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$490** for a Large Entity:

- **\$130** Surcharge.
- Total additional claim fee(s) for this application is **\$ 360**
  - **\$360** for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://spportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/583,151	PCT/GB04/05453	059490-5033

FORM PCT/DO/EO/905 (371 Formalities Notice)